§502.1

502.320 Service.

502.321 Applicability of other rules of this part.

Subpart U—Alternative Dispute Resolution

502.401 Policy.

502.402 Definitions.

502.403 General authority.

502.404 Neutrals.

502.405 Confidentiality.

502.406 Arbitration.

502.407 Authority of the arbitrator.

502.408 Conduct of arbitration proceedings.

502.409 Arbitration awards.

502.410 Representation of parties.

502.411 Mediation and other alternative means of dispute resolution.

Subpart V—Implementation of the Equal Access to Justice Act in Commission Proceedings

502.501 General provisions.

502.502 Information required from applicants.

502.503 Procedures for considering petitions.

Subpart W—Compromise, Assessment, Mitigation, Settlement, and Collection of Civil Penalties

502.601 Purpose and scope.

502.602 Definitions.

502.603 Assessment of civil penalties: Procedure; criteria for determining amount; limitations; relation to compromise.

 $502.604\,$ Compromise of penalties: Relation to assessment proceedings.

502.605 Payment of penalty: Method; default.

APPENDIX A TO SUBPART W—EXAMPLE OF COMPROMISE AGREEMENT TO BE USED UNDER 46 CFR 502.604

Subpart X—Paperwork Reduction Act

502.991 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

AUTHORITY: 5 U.S.C. 504, 551, 552, 553, 556(c), 559, 561–569, 571–596; 5 U.S.C. 571–584; 12 U.S.C. 1141j(a); 18 U.S.C. 207; 26 U.S.C. 501(c)(3); 28 U.S.C. 2112(a); 31 U.S.C. 9701; 46 U.S.C. app. 817d, 817e, 1114(b), 1705, 1707–1711, 1713–1716; E.O. 11222 of May 8, 1965, 30 FR 6469, 3 CFR, 1964–1965 Comp. P. 306; 21 U.S.C. 853a; Pub. L. 105–258, 112 Stat. 1902.

SOURCE: 49 FR 44369, Nov. 6, 1984, unless

Subpart A—General Information

§ 502.1 Scope of rules in this part.

The rules in this part govern procedure before the Federal Maritime Com-

mission, hereinafter referred to as the "Commission," under the Merchant Marine Act, 1920, Merchant Marine Act, 1936, Shipping Act of 1984, as amended by the Ocean Shipping Reform Act of 1998, Administrative Procedure Act, and related acts, except that subpart R of this part does not apply to proceedings subject to sections 7 and 8 of the Administrative Procedure Act, which are to be governed only by subparts A to Q inclusive, of this part. They shall be construed to secure the just, speedy, and inexpensive determination of every proceeding. To this end, all persons involved in proceedings conducted under the rules of this part shall be required to consider at an early stage of the proceeding whether resort to alternative dispute resolution techniques would be appropriate or useful. [Rule 1.]

[49 FR 44369, Nov. 6, 1984, as amended at 58 FR 38649, July 19, 1993; 64 FR 7807, Feb. 17, 1999]

§ 502.2 Filing of documents; hours; mailing address.

(a) For purposes of filing of documents with the Commission, the hours of the Commission are from 8:30 a.m. to 5:00 p.m., Monday to Friday, inclusive.

(b) Except for exhibits filed pursuant to §502.118(b)(4) and petitions for review of final agency orders served on the Commission pursuant to 28 U.S.C. 2112(a), all documents required to be filed in, and correspondence relating to proceedings governed by this part should be addressed to Secretary, Federal Maritime Commission, Washington, DC 20573-0001. Petitions for review of final agency orders served on the Commission pursuant to 28 U.S.C. 2112(a) shall be addressed to General Counsel, Office of the General Counsel, Federal Maritime Commission, Washington, DC 20573-0001.

(c) Documents relating to any matter pending before the Commissioners for decision or to any matter pending before the Commission which is likely to come before the Commissioners for decision, whether or not relating to proceedings governed by this part, shall similarly be filed with the Secretary, Federal Maritime Commission. Such documents should not be filed with or separately submitted to the offices of